



4200

JUL 31 2008

MEMORANDUM

From: *M.D. Emerson*
M.D. Emerson, CAPT
COMDT (CG-711)

Reply to: CG-711
Attn of: CDR Sandlin
2-2209

To: Chief of the Contracting Office, Level I
HSC (a-3)

Subj: JUSTIFICATION FOR OTHER THAN FULL AND OPEN COMPETITION

Ref: (a) Federal Acquisition Regulation (FAR) Parts 5, 6, 8, 10, 11, 13, 15, 19
(b) Coast Guard Acquisition Procedures (CGAP), COMDTINST M7100.3
(c) Simplified Acquisition Procedures Manual, COMDTINST M4200.13

1. Per references (a) through (c), the Office of Aviation Forces (CG-711) submits the following to support Purchase Request # 21-08-G88MAV434.

TECHNICAL SUPPORTING DATA

Recommend that negotiations be conducted with the Science Applications International Corporation (SAIC) on a noncompetitive basis for award of a contract in an amount estimated to be \$ 26,000. SAIC currently has a GSA Mission Oriented Business Integrated Services (MOBIS) contract, number GS-23F-8006H, Special Item Number 874-1. AMTI, an operation of SAIC, would execute the contract. The supporting data for this recommendation are as follows:

A. Description of Supplies/Services – See enclosure (1)

B. Background

- a. A high priority direction from CG-7 and CG-53 is the development of combined CG surface/air High Value Asset escort tactics. Full development of this capability is a key milestone in the Airborne Use of Force rollout. Feedback from sector, district and Area commanders indicates a pressing operational need to develop these procedures as soon as possible. Funding is available this fiscal year to begin the process.
- b. This acquisition is not a continuation of a previous effort performed by the proposed contractor.

C. Circumstances Requiring the Use of Other Than Full and Open Competition. The criteria for requesting Other Than Full and Open Competition are two-fold. First, the nature of the project in question, its direct operational impact to a current mission of the CG and

the high level of senior leadership interest, demands completion as quickly as possible. Discussed project timelines anticipate a full, real-time exercise involving CG assets in both PAC and LANT Area by the end of November 2008. To meet this aggressive timeline, the initial analysis and table top exercise (TTX), which are the initial stages of the project, must be finished no later than the end of September. The vendor can execute within this compressed time frame.

The more critical criteria for this request is the unique skill sets that AMTI can bring to this project. This is a project designed to take a mandated CG mission into new areas. The personnel AMTI has on staff who would work with the CG on this project are a nearly perfect and rare combination for our purposes. The contractor providing analysis and TTX must know CG missions, procedures, organization and policy from top to bottom. AMTI has retired senior CG officers on staff that bring an all-encompassing understanding of the CG ranging from strategic-level policy to Captain of the Port authorities and concerns – exactly the information a contractor will need to be able to address the policy, doctrinal and procedural changes that may be required. Additionally, the vendor must be able to accurately and credibly posit terrorist or other present-day threats that the CG is forced to prepare for in the execution of this mission. AMTI has personnel on staff who are supremely qualified to provide this information. The employee working on this project would be a retired Naval Special Warfare officer that specialized expressly in ‘red cell’ and anti/counter-terrorism work. This unique and specialized experience gives AMTI the ability to provide accurate, detailed analysis and credible real-world threats scenarios that will fully validate and exercise CG tactics, techniques and procedures. Each of these skillsets (CG familiarity and anti/counter-terrorism & red cell experience) is valuable in and of itself. The combination found at AMTI is both exceedingly rare and the exact mix needed for the success of this project. AMTI’s ability to meet the desired timeline coupled with the capabilities they are able to bring to the project makes them the logical choice for the contract.

- D. **Market Research** – No extensive market survey conducted due to time constraints of the project. The nature of the businesses the project needs precludes a ‘quick’ survey of pricing – each quote is tailored to the project. A random survey of approximately 10 consultant web sites from the DC metro area failed to locate consulting personnel with the level of credibility and professional skill set offered by AMTI.
- E. **Steps to Foster Competition** – Future contracts for exercises or analysis of other Airborne Use of Force missions, absent time constraints, will be put out for competitive bid.

F. **TECHNICAL OFFICE CERTIFICATION:**

The requirement set forth is initiated to satisfy a recognized Government need. As applicable, the statement of work is limited to state the minimum needs of the Government. I certify that the above information which serves as the basis for the justification for other than full and open competition is accurate and complete to the best of my knowledge and belief.

Edward W. Sandlin, CDR USCG E.W. Sandlin
(Print Name) (Signature)
Technical Initiator

29 Jul 08
Date

Concurred By:

M. D. EMERSON, CHPT [Signature]
(Print Name) CG-711 (Signature)
Division Chief or Office Director

31 JUL 08
Date

#

Statement of work U. S. Coast Guard combined surface/air/C3 security zone
enforcement tactics

CONTRACTING OFFICER CERTIFICATION:

I certify that the justification is accurate and complete to the best of my knowledge and
belief.

M. A. ROBINSON [Signature]
(Print Name) (Signature)
Contracting Officer

8/8/08
Date

. STATEMENT OF WORK
U. S. COAST GUARD COMBINED SURFACE/AIR/C3 SECURITY ZONE
ENFORCEMENT TACTICS
COAST GUARD WORK PLAN

1.0 OBJECTIVE:

The primary objective of this task is to assist the Coast Guard (CG) in analyzing current standard operating procedures (SOP) and developing realistic, effective High Value Asset (HVA) security zone enforcement SOP for combined surface, Command, Control and Communications (C3), and armed air operations. An analysis, followed by a table top exercise (TTX) will be designed to expose gaps in current tactics techniques and procedures (TTP), policy and doctrine and provide viable alternatives to same.

Assistant Commandant for Capabilities (CG-7) and Assistant Commandant for Response Policy (CG-53) have directed that the Office of Aviation Forces (CG-711) (hereafter 'sponsor') and the Office of Counterterrorism and Defense Operations (CG-532) develop a set of standardized and coordinated surface/air/C3 (hereafter: 'combined asset') TTP for use in the CGs security zone enforcement operations in support of its Ports Waterways and Coastal Security (PWCS) mission.

2.0 SPECIFIC TASKS:

2.1 The VENDOR shall analyze, prior to the TTX, current CG security zone enforcement SOP. The analysis shall consider: 1) existing mission and performance requirements for operations associated with combined asset security zone enforcement, 2) Analyze the range of threats for which CG forces need to be prepared in conducting security zone enforcement 3) Use risk as the framework to prioritize required capabilities 4) CG policy and doctrine insofar as they impact operational execution of the CG's ability to conduct security zone enforcement.

2.2 The VENDOR shall host a one day TTX in the greater Washington DC metropolitan area to be held on the 23rd of September, 2008, with an allowed change of up to five business days before or after that date to accommodate scheduling. The final date shall be subject to approval by the sponsor. The TTX shall be held at a venue within reasonable walking distance of commercial dining facilities or the vendor shall provide meals. The TTX shall be for the purpose of applying the findings of the analysis discussed above to identify and highlight areas of combined asset TTP, doctrine, or policy which require additional development or change. The TTX shall employ a move-countermove, scenario-based, war game format.

2.21 The VENDOR shall provide all exercise support including:

- A facilitator familiar with CG organization and surface, C3 and air operations and TTP.
- A Subject Matter Expert (SME) in anti/counter terrorism operations and TTP who will moderate the formation and actions of a 'red team'.
- Player handbooks
- Briefing Materials
- Exercise Controllers/Simulation Cell (if required)

- Personnel, separate from the moderator and red team SME, for recording and administrative purposes.

2.22 The VENDOR shall provide a minimum of two security zone enforcement scenarios for the TTX, each of which will exercise CG operations in a diverse port environment (e.g. scenario one occurs in a confined tier I port and scenario two in a small port facility). At a minimum the scenarios shall entail a moving HVA escort utilizing CG combined assets. Inclusion of interagency and other governmental entities in the scenario shall be kept to a minimum. The scenarios shall be written to allow increases in the number of CG assets and escalating threat levels as the TTX progresses. The scenarios shall take place in a geographic location of the sponsor's choosing, exact location to be delivered NLT 30 days prior to the TTX.

2.23 The VENDOR will submit the scenarios to the sponsor for final approval NLT ten working days prior to the TTX.

2.24 The VENDOR shall deliver a version of the scenario designed to facilitate TTX blue force mission planning to the sponsor NLT three working days before the TTX.

2.5 The VENDOR will work with the sponsor to recommend and scope any additional level of quantitative analysis required and further evaluate the need for follow-on actions .

3.0 GOVERNMENT FURNISHED INFORMATION

3.1 The sponsor will provide applicable background information, including CG TTP, policy, doctrine, etc as requested by the VENDOR for the purpose of the analysis and TTX development.

3.2 List of current/anticipated surface zone enforcement mission requirements.

3.3 A list of participants NLT five working days prior to the TTX.

4.0 DELIVERABLES:

4.1 After Action Report

The VENDOR will provide an after action report (AAR) to the sponsor NLT 21 days after the conclusion of the TTX. The AAR will address: 1) the results of the TTX 2) strengths and weaknesses of current TTP 3) identify and document force structure, planning, training, C3 and other issues necessary to ensure the CG can successfully execute the security zone enforcement mission 4) recommendations for improvement, including recommended changes to applicable TTP, training, doctrine or policy. The AAR shall be in MS WORD format and emailed to the sponsor.

4.2 Alignment Meeting.

The CG and VENDOR shall conduct at least one face-to-face alignment meeting following the award of the contract, but no later than 30 days prior to the TTX date, to discuss scenario specifics including assets to be used, geographic location, etc.

5.0 ESTIMATED COST AND PERIOD OF PERFORMANCE

Estimated Cost: \$26,000.00 (includes labor, travel, ODCs and all fees)

Estimated Labor hours: 300

Estimated Duration: 1.5 Months

Estimated Start Date: 04 Aug 2008 Estimated End Date: 23 Oct 2008

APPROVAL SIGNATURES FOR PROPOSED EFFORT:

Requesting Activity (RA) _____ Date _____